

Manipulating flavour models with invariants



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DESY Hamburg Theory

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What is allowed to model flavour

- massless Standard Model: $SU(3)_c \times SU(2)_L \times U(1)_Y$

$$U(3)_Q \times U(3)_u \times U(3)_d \times U(3)_L \times U(3)_\ell$$

- 3 generations (why?)
- gauge couplings U(3)-invariant for complex triplets $\mathbf{3}, \bar{\mathbf{3}}$
- $\bar{\psi}_i \not{D} \psi_i$ for $i = 1, \dots, 3$ generations
- broken by Yukawa couplings (mix gauge representations)

$$\bar{\psi}_i^L Y_{ij} \psi_j^R$$

- $U(3)^2$ freedom of rotating Yukawas: $U(3)^5$ not all independent

$$\bar{\psi}_{i'}^L U_{i'i}^{L*} Y_{ij} U_{jj'}^R \psi_j^R \xrightarrow{\text{diagonalization}} \bar{\psi}_i^L m_{ii} \psi_i^R$$

Quark mass matrices

$$M_u = v Y_u,$$

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Rotate fields in flavour space:

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$$\mathcal{L}_Y^q = \bar{Q}_L Y_d \Phi d_R + \bar{Q}_L Y_u \tilde{\Phi} u_{R,k} + \text{h. c.}$$

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Mass matrices: arbitrary 3×3 complex matrices

$$\mathbf{M} = \frac{v}{\sqrt{2}} \begin{pmatrix} |y_{11}|e^{i\delta_{11}} & |y_{12}|e^{i\delta_{12}} & |y_{13}|e^{i\delta_{13}} \\ |y_{21}|e^{i\delta_{21}} & |y_{22}|e^{i\delta_{22}} & |y_{23}|e^{i\delta_{23}} \\ |y_{31}|e^{i\delta_{31}} & |y_{32}|e^{i\delta_{32}} & |y_{33}|e^{i\delta_{33}} \end{pmatrix}$$

Matrix invariants

- do not change for different bases
- relate matrix elements with their singular values (i. e. masses)

$$\xi = \frac{1}{2} \left[\text{Tr} [\mathbf{M}\mathbf{M}^\dagger]^2 - \text{Tr} [(\mathbf{M}\mathbf{M}^\dagger)^2] \right] = m_1^2 m_2^2 + m_2^2 m_3^2 + m_1^2 m_3^2,$$

$$D = \det [\mathbf{M}\mathbf{M}^\dagger] = m_1^2 m_2^2 m_3^2,$$

$$R^2 = \text{Tr} [\mathbf{M}\mathbf{M}^\dagger] = m_1^2 + m_2^2 + m_3^2.$$

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Frobenius norm

$$\|\mathbf{M}\|_F^2 = \sum_{ij} |m_{ij}|^2 = \text{Tr} [\mathbf{M}\mathbf{M}^\dagger] = R^2$$

Consider a *real* 3×3 matrix

$$\tilde{M} = \begin{pmatrix} \tilde{m}_{11} & \tilde{m}_{12} & \tilde{m}_{13} \\ \tilde{m}_{21} & \tilde{m}_{22} & \tilde{m}_{23} \\ \tilde{m}_{31} & \tilde{m}_{32} & \tilde{m}_{33} \end{pmatrix}, \quad \text{with}$$

$$\tilde{m}_{11} = R \sin \chi \sin \phi_1 \sin \phi_2 \sin \phi_3 \sin \phi_4 \sin \phi_5 \sin \phi_6 \sin \phi_7,$$

$$\tilde{m}_{12} = R \sin \chi \sin \phi_1 \sin \phi_2 \sin \phi_3 \sin \phi_4 \sin \phi_5 \sin \phi_6 \cos \phi_7,$$

$$\tilde{m}_{13} = R \sin \chi \sin \phi_1 \sin \phi_2 \sin \phi_3 \sin \phi_4 \sin \phi_5 \cos \phi_6,$$

$$\tilde{m}_{21} = R \sin \chi \sin \phi_1 \sin \phi_2 \sin \phi_3 \sin \phi_4 \cos \phi_5,$$

$$\tilde{m}_{22} = R \sin \chi \sin \phi_1 \sin \phi_2 \sin \phi_3 \cos \phi_4,$$

$$\tilde{m}_{23} = R \sin \chi \sin \phi_1 \sin \phi_2 \cos \phi_3,$$

$$\tilde{m}_{31} = R \sin \chi \sin \phi_1 \cos \phi_2,$$

$$\tilde{m}_{32} = R \sin \chi \cos \phi_1,$$

$$\tilde{m}_{33} = R \cos \chi.$$

The angles are $\phi_i \in [0, 2\pi)$, $i = 1, \dots, 7$, and $\chi \in [0, \pi]$.

Personal bias: define \tilde{m}_{33} distinguished direction

$$\tilde{M} = R \begin{pmatrix} \sin \chi \left(\prod_{i=1}^6 \sin \phi_i \right) \sin \phi_7 & \sin \chi \left(\prod_{i=1}^6 \sin \phi_i \right) \cos \phi_7 & \sin \chi \left(\prod_{i=1}^5 \sin \phi_i \right) \cos \phi_6 \\ \sin \chi \left(\prod_{i=1}^4 \sin \phi_i \right) \cos \phi_5 & \sin \chi \left(\prod_{i=1}^3 \sin \phi_i \right) \cos \phi_4 & \sin \chi \left(\prod_{i=1}^2 \sin \phi_i \right) \cos \phi_3 \\ \sin \chi \sin \phi_1 \cos \phi_2 & \sin \chi \cos \phi_1 & \cos \chi \end{pmatrix}$$

9-dimensional vector

$$\vec{m} = (\tilde{m}_{11}, \tilde{m}_{12}, \tilde{m}_{13}, \tilde{m}_{21}, \tilde{m}_{22}, \tilde{m}_{23}, \tilde{m}_{31}, \tilde{m}_{32}, \tilde{m}_{33})^T$$

“flavor space” expansion

A new type of alignment

Personal bias: define \tilde{m}_{33} distinguished direction

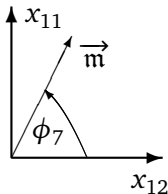
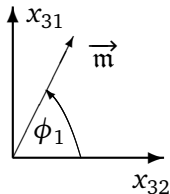
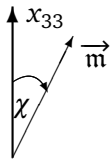
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$$\vec{m} = (\tilde{m}_{11}, \tilde{m}_{12}, \tilde{m}_{13}, \tilde{m}_{21}, \tilde{m}_{22}, \tilde{m}_{23}, \tilde{m}_{31}, \tilde{m}_{32}, \tilde{m}_{33})^T$$

“flavor space” expansion

$$-\mathcal{L} = \sum_{i,j=1}^3 \bar{\psi}_{L,i} \tilde{m}_{ij} \psi_{R,j} \equiv \sum_{i,j=1}^3 \tilde{m}_{ij} \hat{x}_{ij}$$



"Nearest Neighbour Interaction"

$$|\mathbf{M}| = \begin{pmatrix} 0 & A & 0 \\ A' & 0 & B \\ 0 & B' & C \end{pmatrix}$$

with $\phi_{2,4,6} = \frac{\pi}{2}$ and $\phi_7 = 0$

$$A = R \sin \chi \sin \phi_1 \sin \phi_3 \sin \phi_5 \quad \tan \phi_5 = \frac{A}{A'}$$

$$A' = R \sin \chi \sin \phi_1 \sin \phi_3 \cos \phi_5 \quad \tan \phi_3 = \sqrt{1 + \left(\frac{A}{A'}\right)^2}$$

$$B = R \sin \chi \sin \phi_1 \cos \phi_3$$

$$B' = R \sin \chi \cos \phi_1$$

$$C = R \cos \chi$$

$$\tan \phi_1 = \sqrt{1 + \left(1 + \left(\frac{A}{A'}\right)^2\right) \left(\frac{A^2}{A'B}\right)^2 \frac{B}{B'}}$$

Parameter Counting

- $U(n)^3 \leftrightarrow [3n(n+1) - 2]/2$ arbitrary phases
- $n = 3$: 17 free phases
- reducing phases from texture zeros: $17 - 8 = 9$ unphysical
- in total 10 phases in the mass matrix: 1 independent!

define $\gamma = \delta_{21}^{(b)} + \delta_{33}^{(b)} - \delta_{31}^{(b)} - \delta_{23}^{(b)}$

$$M_a = \begin{pmatrix} 0 & A_a & 0 \\ A'_a & 0 & B_a \\ 0 & B'_a & C_a \end{pmatrix}, \quad M_b = \begin{pmatrix} 0 & A_b e^{i\gamma} & 0 \\ A_b e^{-i\gamma} & 0 & B_b \\ 0 & B'_b & C_b \end{pmatrix}$$

- 10 parameters in M_a and M_b
- 6 masses, 3 mixing angles, one CP-phase: “10 observables”
- weak basis invariant statement [see Branco et al.]

Majorana neutrinos

full ignorance about high-scale model:

[Weinberg 1979]

$$\mathcal{L}_5 = \frac{1}{2} \frac{c_{\alpha\beta}}{\Lambda_{\text{NP}}} (\bar{L}_{L\alpha}^c \tilde{H}^*) (\tilde{H}^\dagger L_{L\beta}) + \text{h. c.}$$

complex, symmetric mass matrix

$$M^\nu = \begin{pmatrix} \tilde{m}_{11}^\nu e^{i\varphi_{11}^\nu} & \frac{1}{\sqrt{2}} \tilde{m}_{12}^\nu e^{i\varphi_{12}^\nu} & \frac{1}{\sqrt{2}} \tilde{m}_{13}^\nu e^{i\varphi_{13}^\nu} \\ \frac{1}{\sqrt{2}} \tilde{m}_{12}^\nu e^{i\varphi_{12}^\nu} & \tilde{m}_{22}^\nu e^{i\varphi_{22}^\nu} & \frac{1}{\sqrt{2}} \tilde{m}_{23}^\nu e^{i\varphi_{23}^\nu} \\ \frac{1}{\sqrt{2}} \tilde{m}_{13}^\nu e^{i\varphi_{13}^\nu} & \frac{1}{\sqrt{2}} \tilde{m}_{23}^\nu e^{i\varphi_{23}^\nu} & \tilde{m}_{33}^\nu e^{i\varphi_{33}^\nu} \end{pmatrix}$$

$$\tilde{m}_{11}^\nu = R^\nu \sin \chi^\nu \sin \omega_1^\nu \sin \omega_2^\nu \sin \omega_3^\nu \sin \omega_4^\nu$$

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The Altarelli–Feruglio model

special choice of matrix elements motivated by discrete symmetry

$$|M_\nu| = \begin{pmatrix} 0 & a^\nu & a^\nu \\ a^\nu & -2a^\nu & b^\nu \\ a^\nu & b^\nu & -2a^\nu \end{pmatrix}$$

with $m_{12}^\nu = m_{13}^\nu$, $m_{22}^\nu = m_{33}^\nu$, and $m_{22}^\nu = -2m_{12}^\nu$

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Note, that 1-1 element vanishes!

Define

$$a^\nu = R^\nu \sin \chi^\nu \sin \omega_1^\nu / (2\sqrt{2})$$

$$b^\nu = R^\nu \sin \chi^\nu \cos \omega_1^\nu / \sqrt{2}$$

with $\tan \chi^\nu \sin \omega_1^\nu = -\sqrt{2}$. Leads to close-to-TBM mixing matrix.

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- Fit a^ν, b^ν via Δm_{21}^2 and Δm_{31}^2 .
- Vanishing \tilde{m}_{11}^ν element (in contrast to original AF).
- $\theta_{13}^\nu = 0$ by definition.

Disturb the model

Deviate with a small perturbation $\omega_4^\nu = \frac{\pi}{4} + \varepsilon$ such that

$$|M_\nu| = \begin{pmatrix} 0 & a^\nu + \delta^\nu & a^\nu - \delta^\nu \\ a^\nu + \delta^\nu & -2a^\nu & b^\nu \\ a^\nu - \delta^\nu & b^\nu & -2a^\nu \end{pmatrix} + \mathcal{O}(\varepsilon^2)$$

with $\delta^\nu = a^\nu \varepsilon$.

- Accommodate for CP violating phase: $\omega_4^\nu = \frac{\pi}{4} + i\varepsilon$
- $\delta^\nu = 0.005 i$ gives $\sin \theta_{13}^\nu \approx 0.15$.

Fitting $\Delta m_{21}^2 = 7.40 \times 10^{-5} \text{ eV}^2$ and $\Delta m_{31}^2 = 2.494 \times 10^{-3} \text{ eV}^2$ [nu-fit.org], we find

$$a^\nu = 0.0126 \text{ eV}, \quad \text{and} \quad b^\nu = 0.0263 \text{ eV}$$

corresponding to

$$m_3^\nu = 0.0526 \text{ eV}, \quad m_2^\nu = 0.0187 \text{ eV} \quad \text{and} \quad m_1^\nu = 0.0166 \text{ eV}$$

and

$$|U_{\text{PMNS}}| = \begin{pmatrix} 0.696 & 0.702 & 0.150 \\ 0.398 & 0.551 & 0.733 \\ 0.598 & 0.451 & 0.663 \end{pmatrix}.$$

(Deviations from 3σ regime.)

Hierarchical matrix elements from *misalignment*

All small angles: $\varepsilon \equiv \chi \sim \phi_k \ll 1$ gives

$$|M| \sim R \begin{pmatrix} \varepsilon^8 & \varepsilon^7 & \varepsilon^6 \\ \varepsilon^5 & \varepsilon^4 & \varepsilon^3 \\ \varepsilon^2 & \varepsilon & 1 \end{pmatrix}.$$

- A bigger variety possible: not all angles have to be the same.
- Treat up- and down-type masses differently, e. g. $\chi^d \rightarrow \chi^d - \frac{\pi}{2}$.
- Higher powers of ε possible.
- Smaller powers sufficient (i. e. only two small angles)

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$$|M| \sim R \begin{pmatrix} \varepsilon^2 & \varepsilon^2 & \varepsilon^2 \\ \varepsilon^2 & \varepsilon^2 & \varepsilon \\ \varepsilon & \varepsilon & 1 \end{pmatrix} \Rightarrow |MM^\dagger| \sim R^2 \begin{pmatrix} \varepsilon^4 & \varepsilon^3 & \varepsilon^2 \\ \varepsilon^3 & \varepsilon^2 & \varepsilon \\ \varepsilon^2 & \varepsilon & 1 + \varepsilon^2 \end{pmatrix}$$

- There is a fundamental thirst of reducing arbitrary parameters in the Standard Model.
- The flavour sector has too many.
- Relating observable parameters with the free parameters.
- Omitting unphysical ones.
- Exploiting invariant statements.

The spherical mass matrix interpretation

Frobenius norm defines surface of a hypersphere:

$$R^2 = \|\mathbf{M}\|_F^2 = \sum_{i,j} |m_{ij}|^2 = \text{Tr}[\mathbf{M}\mathbf{M}^\dagger]$$

$\tilde{\mathbf{M}}/R =$

$$\begin{pmatrix} \sin \chi \left(\prod_{i=1}^6 \sin \phi_i \right) \sin \phi_7 & \sin \chi \left(\prod_{i=1}^6 \sin \phi_i \right) \cos \phi_7 & \sin \chi \left(\prod_{i=1}^5 \sin \phi_i \right) \cos \phi_6 \\ \sin \chi \left(\prod_{i=1}^4 \sin \phi_i \right) \cos \phi_5 & \sin \chi \left(\prod_{i=1}^3 \sin \phi_i \right) \cos \phi_4 & \sin \chi \left(\prod_{i=1}^2 \sin \phi_i \right) \cos \phi_3 \\ \sin \chi \sin \phi_1 \cos \phi_2 & \sin \chi \cos \phi_1 & \cos \chi \end{pmatrix}$$