Lepton-Flavoured Scalar Dark Matter in Dark Minimal Flavour Violation

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Abstract

We study a simplified model of lepton-flavoured complex scalar dark matter set up in the Dark Minimal Flavour Violation framework. In this model the Standard Model is extended by a scalar dark matter flavour triplet and a charged fermionic mediator, through which dark matter couples to the right-handed charged leptons of the Standard Model. This interaction is parameterized by a new 3×3 coupling matrix λ . Consistent with the field content of the model, also the Standard Model's approximate flavour symmetry is extended to include an additional global U(3) associated with the dark matter flavour triplet. In addition to the Standard Model Yukawa couplings, the new coupling matrix λ is assumed to constitute the only source that violates this extended symmetry. We analyse the parameter space of this model by investigating constraints from collider searches, lepton flavour violating decays, the observed dark matter relic density, and direct as well as indirect dark matter detection experiments. By performing a combined analysis of all constraints we find that restrictions from lepton flavour violating decays, the observed relic density and dark matter nucleon scattering are dominant. The combination of the latter two renders limits from collider searches irrelevant while indirect detection constraints are weak due to a p-wave suppression of the annihilation rate. We conclude that lepton-flavoured scalar dark matter has a rich phenomenology and is a viable dark matter candidate.

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1 Introduction

Although the existence of dark matter (DM) is backed up by solid evidence [1,2], its particle physics properties still remain unknown. There are numerous dark matter models and mapping this vast theory space to experimental signatures is a challenging problem. Even within the paradigm of weakly interacting massive particles (WIMPs), it is often useful to appeal to a simplified model framework that categorizes the signals from large classes of models.

One such class of models is the idea of flavoured dark matter (FDM) [3–12]. These models are motivated by the observation that stringent flavour constraints imply that DM at the weak scale cannot have arbitrary interactions with Standard Model (SM) matter, but must have a very specific flavour structure. In these models, in analogy to the SM matter content, DM transforms under the fundamental representation of an associated dark flavour symmetry – i.e. DM is flavoured and comes in multiple (usually three) generations.

While FDM models exhibit some phenomenological advantages like additional DM annihilation channels due to the increased number of dark particles, they also come at the cost of strong constraints from flavour experiments. Hence, early studies of such models have limited their analysis to the minimal flavour violation (MFV) paradigm [13–18], where it is assumed that the only sources of flavour violation are the SM Yukawa couplings. A consequence of this premise is that the coupling matrix of DM to SM matter has to be expressed in terms of the latter, which in turn yields a highly restricted flavour structure.

A more general approach to study FDM models is to go beyond the MFV hypothesis and allow the new coupling matrix to constitute an additional source of flavour and CP violation. One framework for the analysis of this class of models is the Dark Minimal Flavour Violation (DMFV) framework which was introduced in [19]. Here, the FDM ansatz is extended to allow for a non-trivial flavour structure of the new coupling matrix λ that governs the interaction of DM with SM fermions.

Even within the DMFV framework our ignorance about fundamental properties of DM leaves us with many options in terms of model building. Apart from the choice of the particle nature of DM and its corresponding mediator particle, one is also left with a large variety of options in terms of the SM fields that DM interacts with. Here, the DMFV framework allows for couplings to all fermion types of the SM. The cases of DM being a Dirac fermion coupling to the various SM quark fields have already been studied intensively [19–22], and its coupling to the right-handed charged leptons has been investigated in [23]. More recently a study of a Majorana fermionic DMFV model has been presented in [24]. In the present study we instead assume DM to be a complex scalar that couples to the right-handed charged leptons.

Lepton-flavoured, lepton-portal or leptophilic DM again corresponds to a class of DM models with specific features [25-28]. One significant property of such models in general is the lack of tree-level contributions to DM-nucleon scattering, leading to a suppression of the signal in direct detection experiments. Further, lepton-flavoured DM models are less constrained by LHC searches, as the corresponding mediator particle of leptophilic DM can only be produced by a Drell-Yan process and hence suffers from an *s*-channel suppression combined with the smallness of the electroweak coupling. These features together ameliorate the tension between the WIMP paradigm and the absence of a signal in such experiments. However, choosing the DM to interact with leptons on the other hand generally comes at the cost of stronger indirect detection constraints due to the direct coupling to electrons and positrons.

We begin our analysis by briefly reviewing the DMFV framework and setting up the abovementioned simplified model of lepton-flavoured scalar DM. We then study its phenomenology by subsequently investigating constraints from collider, flavour, cosmology and direct as well as indirect detection experiments. To provide a global picture we complete our study by performing a combined analysis in which we demand that all constraints are satisfied at the same time. We conclude our analysis by summarising the most important results and providing an outlook.

2 Lepton Flavoured Scalar Dark Matter

In this section we first provide a brief general introduction to the DMFV framework and then present an explicit simplified model of lepton-flavoured complex scalar DM within DMFV.

2.1 Dark Minimal Flavour Violation

In DMFV the SM is extended by a DM flavour triplet $\phi = (\phi_1, \phi_2, \phi_3)^T$ and a corresponding mediator ψ . The dark particles are coupled to SM matter through the generic interaction term

$$\lambda_{ij}\bar{f}_i\,\psi\,\phi_j\,,\tag{2.1}$$

which is governed by the general 3×3 complex coupling matrix λ . Here, f can be either of the SM fermions u_R, d_R, ℓ_R, Q_L or L_L and the quantum numbers of ϕ and ψ depend on their particle natures and the choice for f. This field extension of the SM extends its approximate global flavour symmetry to

$$\mathcal{G}_{\text{DMFV}} = U(3)_Q \times U(3)_L \times U(3)_u \times U(3)_d \times U(3)_\ell \times \mathcal{G}(3)_\phi, \qquad (2.2)$$

where $\mathcal{G}(3)_{\phi}$ generally depends on the particle nature of ϕ . If for instance ϕ is a Dirac fermion or a complex scalar $\mathcal{G}(3)_{\phi}$ is a $U(3)_{\phi}$, while one is left with an $O(3)_{\phi}$ symmetry if ϕ is chosen to be a Majorana fermion or a real scalar. Together with this extended flavour symmetry, the DMFV ansatz postulates that the coupling matrix λ constitutes the only new source of flavour and CP violation beyond the Yukawa couplings Y_u , Y_d and Y_{ℓ} . The latter assumption is referred to as the DMFV hypothesis.

2.2 Lepton-Flavoured Scalar Dark Matter in DMFV

DMFV models with Dirac fermionic DM coupling to right-handed down-type quarks d_R [19], right-handed charged leptons ℓ_R [23], right-handed up-type quarks u_R [20, 22] and the lefthanded quark doublets Q_L [21], as well as Majorana fermionic DM coupling to right-handed up-type quarks u_R [24] have already been studied. For the present analysis we set up a model in the DMFV framework, where DM couples to right-handed charged leptons, i.e. we chose $f = \ell_R$. The DM field ϕ is chosen to be a complex scalar, which transforms as a singlet under the SM gauge group with representation $(\mathbf{1}, \mathbf{1}, 0)_0$, while the mediator ψ is an electrically charged Dirac fermion with the representation $(\mathbf{1}, \mathbf{1}, -1)_{1/2}$. Here we have used the short-hand notation $(SU(3)_C, SU(2)_L, U(1)_Y)_{\text{spin}}$. The Lagrangian of this model reads

$$\mathcal{L} = \mathcal{L}_{\rm SM} + (\partial_{\mu}\phi)^{\dagger}(\partial^{\mu}\phi) - M_{\phi}^{2}\phi^{\dagger}\phi + \bar{\psi}(i\not\!\!D - m_{\psi})\psi - (\lambda_{ij}\bar{\ell}_{Ri}\psi\phi_{j} + \text{h.c.}) + \lambda_{H\phi}\phi^{\dagger}\phi H^{\dagger}H + \lambda_{\phi\phi}\left(\phi^{\dagger}\phi\right)^{2}.$$
(2.3)

As mentioned above, λ is a complex 3×3 matrix that couples the DM triplet to the righthanded charged leptons of the SM. The DM field ϕ comes in three generations and transforms under a global $U(3)_{\phi}$ symmetry, as we have chosen it to be a complex scalar. The lightest generation of ϕ is assumed to account for the DM abundance in the universe. The mass matrix M_{ϕ}^2 as well as the couplings $\lambda_{H\phi}$ and $\lambda_{\phi\phi}$ cannot be general 3 × 3 matrices as this would constitute additional sources of flavour violation, which is forbidden by the DMFV hypothesis. Thus, we choose the latter couplings to be diagonal and flavour-universal¹. The DM mass matrix M_{ϕ}^2 is discussed in more detail in Section 2.3.

Since we choose the DM to be a scalar, the quartic couplings are an additional qualitative feature in our model relative to previous studies of DMFV. The DM-Higgs coupling can mediate tree-level direct detection processes as well as annihilations, and when this coupling is sizeable the phenomenology matches on to the well-studied case of Higgs portal dark matter [29]. In this paper we will take this coupling to be negligible and focus on the phenomenology of the DM coupling to SM fermions. It will be interesting to study the case when both couplings play an important role, which is left for future work. The self-quartic for DM may in principle induce self-interactions. However, for the parameter space we will find to be viable, a perturbative contact interaction does not have significant self-interactions to affect phenomenology. Therefore, we will ignore both quartic couplings in this work.

For the parameterization of the coupling matrix λ , we follow [19] and perform a singular value decomposition to find

$$\lambda = UDV \,, \tag{2.4}$$

where U and V are unitary matrices and D is a diagonal matrix with positive real entries D_i . We further use that eq. (2.4) is invariant under a diagonal rephasing of U and V to remove 3 complex phases from U. Finally, we remove the unitary matrix V using the flavour symmetry $\mathcal{G}_{\text{DMFV}}$ and $U(3)_{\phi}$ in particular, to find

$$\lambda = UD. \tag{2.5}$$

The parametrization of U is adopted from [19, 30] and reads

$$U = U_{23}U_{13}U_{12}$$

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23}^{\theta} & s_{23}^{\theta}e^{-i\delta_{23}} \\ 0 & -s_{23}^{\theta}e^{i\delta_{23}} & c_{23}^{\theta} \end{pmatrix} \begin{pmatrix} c_{13}^{\theta} & 0 & s_{13}^{\theta}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}^{\theta}e^{i\delta_{13}} & 0 & c_{13}^{\theta} \end{pmatrix} \begin{pmatrix} c_{12}^{\theta} & s_{12}^{\theta}e^{-i\delta_{12}} & 0 \\ -s_{12}^{\theta}e^{i\delta_{12}} & c_{12}^{\theta} & 0 \\ 0 & 0 & 1 \end{pmatrix}, (2.6)$$

with the shorthand notation $s_{ij}^{\theta} = \sin \theta_{ij}$ and $c_{ij}^{\theta} = \cos \theta_{ij}$. The above parameterization of λ contains nine physical parameters

$$\theta_{23}, \,\theta_{13}, \,\theta_{12}, \,\delta_{23}, \,\delta_{13}, \,\delta_{12}, \,D_1, \,D_2, \,D_3 \,. \tag{2.7}$$

To avoid a double-counting of the parameter space and to ensure perturbativity we will use the following ranges in our numerical analysis:

$$\theta_{ij} \in [0, \frac{\pi}{4}], \quad \delta_{ij} \in [0, 2\pi), \quad D_i \in [0, 2].$$
(2.8)

¹Note that in principle the DMFV ansatz also allows these couplings to be non-diagonal and flavour-violating, if they are parameterised in terms of λ following the usual spurion ansatz [14].

2.3 Mass Spectrum and DM Stability

The DMFV hypothesis ensures that there are no DMFV-violating contributions to the mass matrix M_{ϕ}^2 . However, the UV completion of the model could still induce a DMFV-preserving term at tree or loop level that contributes to the mass splitting between the different DM generations ϕ_i . Further, there are one-loop renormalisation contributions to M_{ϕ}^2 already within the simplified model. To parameterize these contributions we follow the MFV spurion approach [14] and expand M_{ϕ}^2 in powers of λ to find

$$M_{\phi,ij}^2 = m_{\phi}^2 \left\{ \mathbb{1} + \eta \left(\lambda^{\dagger} \lambda \right) + \mathcal{O} \left(\lambda^4 \right) \right\}_{ij} = m_{\phi}^2 \left\{ \mathbb{1} + \eta D_i^2 + \mathcal{O} \left(\lambda^4 \right) \right\} \delta_{ij} \,. \tag{2.9}$$

Here we have introduced the parameter η , which accounts for our ignorance about the details of the UV-complete model.

For convenience, in addition we always order the mass eigenstates of the field ϕ in such a way that we have

$$M_{\phi}^2 = \text{diag}\left(m_{\phi_1}^2, m_{\phi_2}^2, m_{\phi_3}^2\right), \qquad (2.10)$$

with the hierarchy $m_{\phi_1} > m_{\phi_2} > m_{\phi_3}$. This ensures that the third dark generation is always the lightest state. As we assume it to form the observed DM of the universe, we have to ensure its stability. In Dirac fermionic quark-flavoured DMFV models DM stability is guaranteed by an unbroken residual Z₃ symmetry which is implied by the global flavour symmetry [19–21]. Such a residual symmetry is neither present for lepton-flavoured nor Majorana fermionic DMFV models [23, 24]. We thus follow the usual paradigm of imposing a Z₂ symmetry under which only the new fields ϕ and ψ are charged. This ensures that they cannot decay into SM particles only and renders ϕ_3 stable, as long as its mass m_{ϕ_3} is smaller than the charged mediator's mass m_{ψ} . The latter can be guaranteed by choosing the mass corrections to be negative, i.e. $\eta < 0$, and further demanding that

$$m_{\phi} \le m_{\psi} \,, \tag{2.11}$$

where m_{ϕ} is the tree-level mass parameter from eq. (2.9).

3 Collider Phenomenology

In this section we analyse the constraints that current collider searches place on the model presented above. In doing so, we mainly discuss LHC limits, while limits that the LEP experiments place on our model are taken into account by always choosing $m_{\psi} > 100 \text{ GeV}$ [31,32].

3.1 Relevant LHC Signatures

Pair-production of the mediator ψ takes place via a Drell-Yan process, where a quark and an anti-quark from the initial-state protons annihilate into an off-shell γ or a Z that decays into $\psi\bar{\psi}$, as shown in Figure 3.1a. The mediator's subsequent decay into a lepton ℓ and a dark scalar ϕ (see Figure 3.1b) will then result in the final state $\ell_i \bar{\ell}_j \phi_k^{\dagger} \phi_l$, where i, j, k and l are flavour indices. Note that the \mathbb{Z}_2 symmetry introduced in Section 2.3 ensures that ψ always decays into final states that include ϕ . Putting things together, we find the following process to be relevant at the LHC:

$$pp \rightarrow \psi \bar{\psi} \rightarrow \ell_i \bar{\ell}_j \phi_k^{\dagger} \phi_l .$$
 (3.1)

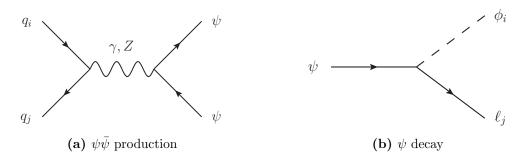


Figure 3.1: Feynman diagrams of ψ pair-production and its subsequent decay.

This process gives rise to the same-flavour signatures $\ell_i \bar{\ell}_i + \not{E}_T$ as well as the mixed-flavour signatures $\ell_i \bar{\ell}_j + \not{E}_T$.² The latter signatures are typically neglected in LHC searches, since in non-flavoured models of DM they are correlated with the strongly constrained lepton flavour violating (LFV) decays $\ell_i \rightarrow \ell_j \gamma$. In flavoured DM models however, the mixed-flavour signatures are proportional to the diagonal elements of the coupling matrix governing the interaction between DM and the SM. In such models, we can get the mixed $\ell_i \ell_j + \not{E}_T$ at a sizeable level without flavor violation (unlike e.g. supersymmetric models with neutralino dark matter) since dark particles can carry away the flavor quantum number. This is similar to the SM $W^+W^$ production where neutrinos carry away the flavor quantum numbers. We will return to these signatures when performing a combined analysis in Section 7.

To constrain our model we use the experimental limits on the final states $e\bar{e} + \not{E}_T$, $\mu\bar{\mu} + \not{E}_T$ and $\tau\bar{\tau} + \not{E}_T$, where the first two are constrained by searches for supersymmetric scalar leptons (sleptons) of the first and second generations and the latter is contrained by searches for stau leptons. Note that the final-state kinematics could in principle be different due to distinct spin-statistics – while in SUSY models the sleptons $\tilde{\ell}$ are scalar particles that decay into two fermions, in our model the fermionic mediator ψ decays into another fermion ℓ and scalar DM ϕ . We do not expect efficiencies of the analysis to be strongly kinematic dependent, and assume that they stay the same for our case. Since the overall cross section for the fermion pair-production is higher than that for scalars in Drell-Yan, we will implement the production explicitly and compare our cross section with the limits on SUSY models. In what follows we use the short-hand notation $\ell \bar{\ell} + \not{E}_T$ for the joint signatures $e\bar{e} + \not{E}_T$ and $\mu\bar{\mu} + \not{E}_T$.

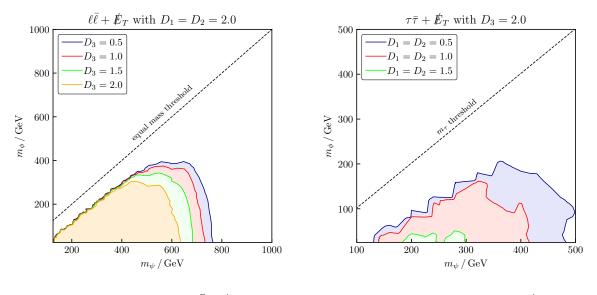
3.2 Recast of LHC Limits

Experimental searches for the $\ell \bar{\ell} + \not{\!\!\!E}_T$ signature have been carried out at 13 TeV [33–35] and also 8 TeV [36], where the most stringent constraints are placed by the CMS search [33] based on the full LHC run 2 data set with an integrated luminosity of 137 fb⁻¹. For the signature $\tau \bar{\tau} + \not{\!\!\!\!E}_T$ we use the ATLAS search [37] that again is based on the full run 2 data set with an integrated luminosity of 139 fb⁻¹.

²Note that due to the small mass-splitting between the different dark flavours, we can safely neglect the soft visible decay products of the heavier states and assume that all three states ϕ_k appear as missing transverse energy.

separately to compare it with the respective upper limits obtained from the searches mentioned above. Note that we follow [19–21, 24] and neglect the mass splitting between the different DM flavours ϕ_i described in Section 2.3 and the resulting decay of the heavier flavours into the lightest state, as the smallness of the splitting results in soft and therefore hard to detect decay products. As in our earlier work we also set the mixing angles and phases in λ to zero, as we are primarily interested in the constraints that the LHC searches place on the $m_{\psi} - m_{\phi}$ plane. Since non-vanishing mixing angles reduce the branching ratio of a given flavour-conserving final state and thus reduce its signal cross section, setting the mixing angles and phases to zero only further strengthens the constraints placed on the mass plane. We also adopt the choice of setting $D_1 = D_2$ when analyzing the LHC constraints, in order to straightforwardly recast the limits from the searches mentioned above.

The results of this recasting procedure are shown in Figure 3.2. In Figure 3.2a we show the constraints that final states with $\ell \bar{\ell} + E_T$ place on the $m_{\psi} - m_{\phi}$ plane. The largest area is excluded for the smallest value of D_3 . As growing values of D_3 increase the branching ratio of the process $\psi \to \tau \phi^{\dagger}$, they also decrease the branching ratio of the relevant process $\psi \to \ell \phi^{\dagger}$ which in turn leads to a smaller signal cross section. Thus, the excluded area shrinks with a growing coupling D_3 . For maximal couplings to electrons and muons $D_1 = D_2 = 2.0$ and a small coupling to the tau $D_3 = 0.5$ (blue line), the constraints are thus most stringent and enforce either $m_{\psi} \gtrsim 750 \,\text{GeV}$ while m_{ϕ} can be chosen freely or $m_{\psi} \gtrsim 400 \,\text{GeV}$ and $m_{\phi} \gtrsim 400 \,\text{GeV}$.



(a) final states with $\ell \bar{\ell} + E_T$

the excluded area shrinks much faster, such that for the near-degenerate case of $D_1 = D_2 \approx D_3$ there is close to no exclusion (green line). For the case of a maximal exclusion (blue line) the constraints can be fulfilled by choosing either $m_{\psi} \gtrsim 500 \text{ GeV}$ and a free m_{ϕ} or $m_{\psi} \gtrsim 200 \text{ GeV}$ and $m_{\phi} \gtrsim 200 \text{ GeV}$.

4 Flavour Physics Phenomenology

In DMFV, the unrestricted structure of the flavour violating coupling matrix λ can generally lead to large flavour changing neutral currents (FCNC). As in our model the DM triplet ϕ couples to leptons, they give rise to sizeable contributions to the aforementioned LFV decays $\ell_i \rightarrow \ell_j \gamma$. Identifying flavour-safe scenarios thus puts strong constraints on the parameter space of our model. We use this section to determine such scenarios and find the corresponding viable parameter space of our model.

4.1 Lepton Flavour Violating Decays

The LFV decay $\ell_i \to \ell_j \gamma$ shown in Figure 4.1 is governed by the interaction term of the Lagrangian in eq. (2.3) and is proportional to the off-diagonal elements of the coupling matrix λ . Following [40] we express its amplitude as

$$\mathcal{M}_{\ell_i\ell_j\gamma} = \frac{e}{2m_{\ell_i}} \epsilon^{*\alpha} \bar{u}_{\ell_j} \left[i\sigma_{\beta\alpha} q^\beta \left(a^R_{\ell_i\ell_j\gamma} P_L + a^L_{\ell_i\ell_j\gamma} P_R \right) \right] u_{\ell_i} , \qquad (4.1)$$

where $\sigma_{\beta\alpha} = i[\gamma_{\alpha}, \gamma_{\beta}]/2$, ϵ^{α} is the photon polarization vector and $P_{R/L} = (1 \pm \gamma_5)/2$ are projection operators. Note that we adopt the convention [41,42] where the superscript of the coefficients $a_{\ell_i\ell_j\gamma}^{R/L}$ refers to the chirality of the final state. For a generic interaction of the form

$$\mathcal{L}_{\text{int}} = c_{ij}^R \,\bar{\ell}_{Ri} \psi \phi_j + c_{ij}^L \,\bar{\ell}_{Li} \psi \phi_j + \text{h.c.}\,, \qquad (4.2)$$

with mass parameters m_{ψ} and m_{ϕ_i} their expressions generally read [40, 42]

$$a_{\ell_i\ell_j\gamma}^R = \frac{m_{\ell_i}}{16\pi^2} \sum_k \left(\frac{m_{\ell_i}}{12m_{\phi_k}^2} c_{ik}^{R*} c_{jk}^R F(x_k) + \frac{m_{\psi}}{3m_{\phi_k}^2} c_{ik}^{L*} c_{jk}^R G(x_k) \right) , \qquad (4.3)$$

$$a_{\ell_i\ell_j\gamma}^L = \frac{m_{\ell_i}}{16\pi^2} \sum_k \left(\frac{m_{\ell_i}}{12m_{\phi_k}^2} c_{ik}^{L*} c_{jk}^L F(x_k) + \frac{m_{\psi}}{3m_{\phi_k}^2} c_{ik}^{R*} c_{jk}^L G(x_k) \right) , \qquad (4.4)$$

with $x_k = m_{\psi}^2/m_{\phi_k}^2$. Here, ψ is a Dirac fermion with electric charge $Q_{\psi} = -1$ and the ϕ_i are scalars. The loop functions F and G are given as [40, 42]

$$F(x) = \frac{2}{(1-x)^4} \left[2 + 3x - 6x^2 + x^3 + 6x \ln x \right] , \qquad (4.5)$$

$$G(x) = -\frac{3}{2(1-x)^3} \left[3 - 4x + x^2 + 2\ln x \right] .$$
(4.6)

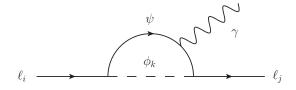


Figure 4.1: Feynman diagram for the LFV decay $\ell_i \to \ell_j \gamma$. The contribution from the photon coupling to one of the SM leptons is not shown.

In terms of these coefficients, the branching ratio of LFV decays is given by

$$BR(\ell_i \to \ell_j \gamma) = \frac{e^2}{64\pi} \frac{m_{\ell_i}}{\Gamma_{\ell_i}} \left(|a^R_{\ell_i \ell_j \gamma}|^2 + |a^L_{\ell_i \ell_j \gamma}|^2 \right) , \qquad (4.7)$$

where Γ_{ℓ_i} denotes the total decay width of ℓ_i .

Since in our model the DM flavour triplet ϕ only couples to right-handed leptons, the expression from above reduces to

$$BR(\ell_i \to \ell_j \gamma) = \frac{e^2}{64\pi} \frac{m_{\ell_i}}{\Gamma_{\ell_i}} |a_{\ell_i \ell_j \gamma}^R|^2, \qquad (4.8)$$

and the contributions to $a^R_{\ell_i\ell_j\gamma}$ from diagrams with a chirality flip inside the loop vanish, i.e.

$$a_{\ell_i\ell_j\gamma}^R = \frac{m_{\ell_i}^2}{192\pi^2} \sum_k \frac{\lambda_{ik}^* \lambda_{jk}}{m_{\phi_k}^2} F(x_k) \,. \tag{4.9}$$

4.2 Constraints from LFV Decays

In order to apply the limits from LFV decays to our model we will generate random points in the parameter space, calculate the branching ratios from eq. (4.8) and demand that they are smaller than their experimental upper limits. The latter are given at 90% C.L. and read [43–45]

$$BR(\mu \to e\gamma)_{exp} < 4.2 \times 10^{-13}$$
, (4.10)

$$BR(\tau \to e\gamma)_{exp} < 3.3 \times 10^{-8} , \qquad (4.11)$$

$$BR(\tau \to \mu\gamma)_{exp} < 4.2 \times 10^{-8} \quad . \tag{4.12}$$

For our numerical analysis, the values for lepton masses m_{ℓ_i} and decay widths Γ_{ℓ_i} are obtained from [46]. We again follow [19–21, 24] and neglect the mass corrections discussed in Section 2.3, as they would lead to higher-order DMFV corrections that we assume to be negligible. In this limit, eq. (4.9) reduces to

$$a_{\ell_i\ell_j\gamma}^R = \frac{m_{\ell_i}^2}{192\pi^2} \frac{\left(\lambda\lambda^\dagger\right)_{ji}}{m_{\phi}^2} F(x) \,. \tag{4.13}$$

Using the experimental upper limits quoted above, one can already estimate how strong the constraints on the off-diagonal elements of $\lambda \lambda^{\dagger}$ are. For the most stringently constrained LFV

decay $\mu \to e\gamma$, for example, we find

$$\sqrt{|(\lambda\lambda^{\dagger})_{\mu e}|} \lesssim \frac{m_{\psi}}{15 \,\mathrm{TeV}}\,,\tag{4.14}$$

where we have expanded eq. (4.8) for $m_{\psi} \gg m_{\phi}$. Thus, for mediator masses m_{ψ} of order $\mathcal{O}(1 \text{ TeV})$ the coupling matrix λ has to satisfy

$$\sqrt{|(\lambda\lambda^{\dagger})_{\mu e}|} \sim \mathcal{O}(0.01 - 0.1).$$
(4.15)

In Figure 4.2 we show the results of our numerical analysis. We find, as expected, that the LFV decays mainly constrain the mixing angle θ_{12} while θ_{13} and θ_{23} can be chosen freely even for large splittings $\Delta_{ij} = |D_i - D_j|$. Generally, all mixing angles θ_{ij} exhibit the same dependence on the corresponding splittings Δ_{ij} , as they can take arbitrarily large values for sufficiently small Δ_{ij} . This is due to the fact that the product $\lambda \lambda^{\dagger}$ becomes diagonal in the degeneracy limit $D_i = D_0$, i.e.

$$\lambda \lambda^{\dagger} = D_0^2 \mathbb{1} \,. \tag{4.16}$$

Note that in contrast to our estimate of eq. (4.14) which we derived for the limit $m_{\psi} \gg m_{\phi}$, the LFV constraints actually carry a DM mass dependence. As the DM mass approaches the mediator mass, $a_{\mu e \gamma}^R$ decreases, leading to larger allowed values for Δ_{12} with a free corresponding mixing angle θ_{12} , as can be seen in Figure 4.2. We conclude that the constraints from LFV decays can be satisfied by either choosing a near degeneracy between the couplings to electrons and muons or by choosing arbitrary values for D_1 and D_2 and suppressing the mixing angle θ_{12} sufficiently.

Before completing this section we want to also comment on precision measurements of leptonic electric dipole moments (EDM) d_{ℓ} as well as magnetic dipole moments (MDM) a_{ℓ} and

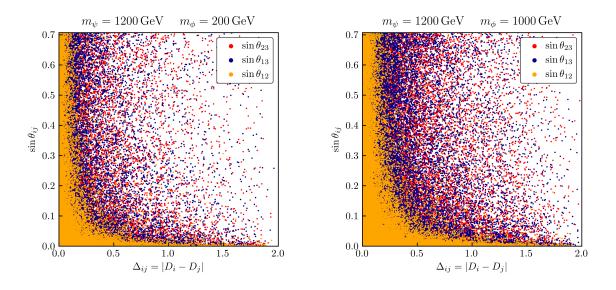


Figure 4.2: Allowed mixing angles θ_{ij} in dependence of the splittings $\Delta_{ij} = |D_i - D_j|$ for $m_{\psi} = 1200 \,\text{GeV}$ and two choices of m_{ϕ} .

their implications for the model presented in this study. Generally, both quantities are induced by the radiative process shown in Figure 4.1 with i = j. However, due to the chiral coupling structure in eq. (2.3), i.e. due to the fact that the DM flavour triplet only couples to righthanded leptons, our model does not induce a contribution to d_{ℓ} at the one-loop level. For the same reason it also lacks the possibility of a chirality enhancement of a_{ℓ} . This means that sizeable contributions to a_{ℓ} can only be generated for mediator masses m_{ψ} of order $\mathcal{O}(100 \text{ GeV})$, which in turn are excluded by the collider searches we have discussed in Section 3. Thus, our model is neither capable of explaining the long-standing tension between the experimental measurement of the muon MDM a_{μ} [47, 48] and its theoretical prediction [49], nor do the MDMs [48, 50, 51] or EDMs [52–54] of charged leptons put relevant constraints on it.

5 DM Relic Density

As we assume the particle ϕ_3 to account for the observed DM in the universe, demanding from our model to yield the correct DM relic density [2] again places constraints on its parameter space. This section is dedicated to the analysis of these constraints.

5.1 DM Thermal Freeze-Out

To calculate the DM relic density we assume a thermal freeze-out at $m_{\phi_3}/T_f \approx 20$, i.e. at that time the production as well as annihilation rates of DM fall below the Hubble expansion rate, such that the dark species decouples from thermal equilibrium and one is left with a relic amount of DM. The resulting relic number density of DM depends on its effective annihilation rate $\langle \sigma v \rangle_{\text{eff}}$.

In our model, the details of the freeze-out in terms of the presence of different dark flavours ϕ_i are determined through their mass hierarchy generated by the mass splittings discussed in Section 2.3. Here, we follow [19–21, 24] and identify two distinct benchmark scenarios for the freeze-out. The first is the so called *Quasi-Degenerate Freeze-Out* (QDF), where we assume the splittings between the heavier states and the lightest state to be very small. This ensures that the decay of the heavier states into the lightest state is kinematically suppressed to an extent that all dark flavors ϕ_i are stable on the freeze-out timescale, and contribute equally to the freeze-out. The heavy DM states will then decay into the lightest state at late times³. The Single-Flavour Freeze-Out (SFF) on the other hand, is defined by a significant mass splitting between $\phi_{1,2}$ and the lightest state ϕ_3 . This in turn leads to a short lifetime of the heavy states compared to the time of the freeze-out. While flavour changing scattering processes between the different DM generations maintain a relative equilibrium amongst them, the number density of the heavier states are suppressed by a Boltzmann factor with the respective mass splitting as its argument. Thus, we assume that in the SFF scenario only the lightest state ϕ_3 contributes to the freeze-out. Numerically we define these two scenarios as follows:

• For the QDF scenario we demand that the mass splitting

$$\Delta m_{i3} = \frac{m_{\phi_i}}{m_{\phi_3}} - 1 \tag{5.1}$$

³Following the line of argument in Appendix D of [19], it can be shown that these decays happen at a fast enough rate such that they are not subject to constraints from big bang nucleosynthesis or energy injections into the cosmic microwave background.

between the heavier states with $i \in \{1, 2\}$ and the lightest state is smaller than 1%. To this end we set $\eta = -0.01$ in eq. (2.9), where smaller values are not reasonable as the splitting may be induced by one-loop diagrams.

• To render the approximation of the SFF scenario accurate, we demand that the mass splittings Δm_{i3} are larger than 10%. Note that however the splitting may not grow arbitrarily large in order to ensure convergence of the DMFV expansion, eq. (2.9). We choose $\eta = -0.075$ which leads to maximal splittings of $\Delta m_{i3} \simeq 30\%$.

In Figure 5.1 we show the tree-level diagrams of the relevant annihilation processes. The coannihilation from Figure 5.1b is suppressed by the Boltzmann factor

$$k = e^{-\frac{m_{\psi} - m_{\phi_3}}{T_f}} \simeq e^{-20\frac{m_{\psi} - m_{\phi_3}}{m_{\phi_3}}}, \qquad (5.2)$$

and the smallness of the fine structure constant $\alpha_{\rm em}$, while the process shown in Figure 5.1c receives an even stronger suppression by a factor k^2 . Hence the latter processes only become relevant in the nearly degenerate region $m_{\psi} \approx m_{\phi}$. Note that the coupling $\lambda_{H\phi}$ from eq. (2.3) in general also leads to relevant annihilations, where two dark particles annihilate into a (virtual) Higgs boson in the *s*-channel, which then decays into SM fermions. The process with two top quarks in the final state can yield a sizeable contribution proportional to $y_t^2 \lambda_{H\phi}^2$ due to the large top Yukawa coupling y_t . However, as we are primarily interested in the structure of the flavour-violating coupling λ in this analysis and as we do not constrain $\lambda_{H\phi}$ throughout this paper, we use this freedom and assume that the annihilation process via an *s*-channel Higgs boson can be neglected.

Thus, for the calculation of the annihilation cross section we only consider the t-channel annihilation shown in Figure 5.1a. For the corresponding diagram we find

$$\overline{|M|^2} = \frac{1}{9} \sum_{ij} \sum_{kl} |\lambda_{ik}|^2 |\lambda_{jl}|^2 \frac{\left(m_{\phi_j}^2 - m_{\ell_l}^2 - t\right) \left(t + m_{\ell_k}^2 - m_{\phi_i}^2\right) - t \left(s - m_{\ell_k}^2 - m_{\ell_l}^2\right)}{(t - m_{\psi}^2)^2}, \quad (5.3)$$

for the flavour-averaged squared amplitude $\overline{|M|^2}$. The Mandelstam variables are defined as $s = (p_1 + p_2)^2$ and $t = (p_1 - p_3)^2$, and we sum over the flavour indices i, j, k and l. To calculate the effective thermally averaged annihilation cross section $\langle \sigma v \rangle_{\text{eff}}$ we perform a low-velocity

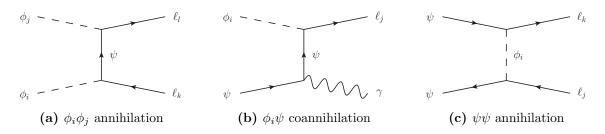


Figure 5.1: Representative Feynman diagrams for annihilations of the new particles into SM matter.

expansion [55, 56] yielding

$$\langle \sigma v \rangle_{\text{eff}} = \frac{1}{2} \langle \sigma v \rangle = \frac{1}{2} \left(a + b \langle v^2 \rangle + \mathcal{O}(\langle v^4 \rangle) \right) ,$$
 (5.4)

where $\langle v^2 \rangle = 6T_f/m_{\phi_3} \simeq 0.3$. The factor of two for the conversion between $\langle \sigma v \rangle_{\text{eff}}$ and $\langle \sigma v \rangle$ is due to ϕ being a complex scalar. Using the procedure provided in [56, 57] we calculate the coefficients of the low-velocity expansion. In the limit of equal initial state masses $m_{\phi_i} = m_{\phi}$ and zero final state masses $m_{\ell_i} = 0$ they are found to be

$$a = 0, (5.5)$$

$$b = \frac{1}{9} \sum_{ij} \sum_{kl} \frac{|\lambda_{ik}|^2 |\lambda_{jl}|^2}{48\pi m_{\psi}^2} \frac{\mu}{(1+\mu)^2}, \qquad (5.6)$$

with $\mu = m_{\phi}^2/m_{\psi}^2$, i.e. we encounter a *p*-wave suppression of $\langle \sigma v \rangle_{\text{eff}}$ in this limit. This suppression is due to the fact that the annihilation of two scalars in the *s*-wave corresponds to a J = 0 state, which implies by angular momentum conservation that the final state must also have J = 0, which involves both lepton chiralities. Since the Lagrangian from eq. (2.3) involves a chiral interaction, the *s*-wave annihilation vanishes in the limit $m_{\ell} = 0$. The expressions for *a* and *b* including the full final-state mass dependence can be found in Appendix A, and are used in the numerical analysis.

Note that using equal initial state masses in the equations above is justified in both freeze-out scenarios, as the mass splitting between the flavours ϕ_i is negligibly small in the QDF scenario, and the only dark particle assumed to be present during freeze-out in the SFF scenario is the third generation ϕ_3 . Thus, in the SFF scenario m_{ϕ} needs to be replaced by m_{ϕ_3} in the expressions above and additionally the sum over initial state flavours and the averaging factor 1/9 need to be omitted. Setting the final state masses m_{ℓ_i} to zero is also justified due to the smallness of the lepton masses and since we generally consider the case $m_{\phi} \gg m_{\tau}$.

5.2 Constraints from the DM Relic Density

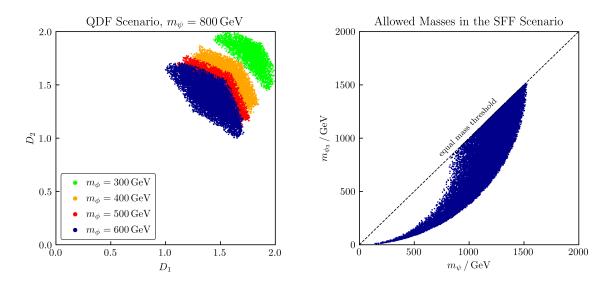
The thermally averaged annihilation cross section that yields the correct DM relic density is found to be independent of the DM mass for $m_{\phi} > 10 \text{ GeV}$ and reads [58,59]

$$\langle \sigma v \rangle_{\text{eff}}^{\text{exp}} = 2.2 \times 10^{-26} \,\text{cm}^3 \,\text{s}^{-1} \,.$$
 (5.7)

We use the partial wave expansion from eq. (5.4) in our numerical analysis and demand that it equals the value from above within a 10% tolerance range. The values for the lepton masses are again adopted from [46].

We show the results of our numerical analysis in Figure 5.2. The contours of Figure 5.2a and their mass dependence can both be explained through eq. (5.6). Performing the sum over the couplings in the QDF scenario we find

$$b = \frac{1}{48\pi} \frac{m_{\phi}^2}{(m_{\phi}^2 + m_{\psi}^2)^2} (D_1^2 + D_2^2 + D_3^2)^2, \qquad (5.8)$$



(a) Allowed values of D_1 and D_2 with a varying (b) Allowed masses m_{ψ} and m_{ϕ_3} in the SFF sce m_{ϕ} and $m_{\psi} = 800 \,\text{GeV}$ for τ -flavoured DM in the QDF scenario.

nario.

Figure 5.2: Viable parameter space for thermal DM freeze-out.

since we have

$$\sum_{ij} \sum_{kl} |\lambda_{ik}|^2 |\lambda_{jl}|^2 = \operatorname{Tr} \left[\lambda^{\dagger} \lambda \right]^2 = \operatorname{Tr} \left[D^2 \right]^2.$$
(5.9)

This means that in the QDF scenario the relic density constraint reduces to a condition on the couplings D_i corresponding to the shell of a sphere. The restrictions that the QDF scenario itself puts on the couplings D_i in order for them to yield the correct mass splittings then further deform this shell and lead to the contours shown in Figure 5.2a. As $\langle \sigma v \rangle_{\text{eff}}$ is proportional to m_{ϕ}^2 , smaller DM masses require larger couplings in order to yield the correct value for the thermally averaged annihilation cross section. We find that due to the *p*-wave suppression of the latter cross section large couplings $D_i \gtrsim 1.0$ are generally required for viable mediator masses $m_{\psi} \gtrsim 800 \,\text{GeV}$ in order to reproduce the correct relic density.

Since the couplings D_i are limited to $D_i \leq 2.0$, the proportionality of the annihilation cross section to m_{ϕ}^2 causes a lower limit on the allowed values for m_{ϕ} for a given m_{ψ} in both scenarios. This can be seen in Figure 5.2b for the SFF scenario. As the latter is defined through a significant mass splitting between the lightest and the heavier states, it further demands that one coupling D_i is significantly larger than the other couplings D_j with $i \neq j$. This is due to the fact that according to eq. (2.9) the mass of a given dark generation ϕ_i reads

$$m_{\phi_i} = m_{\phi} \sqrt{1 - |\eta| D_j^2} \,, \tag{5.10}$$

where the indices i and j are determined by the hierarchy $m_{\phi_1} > m_{\phi_2} > m_{\phi_3}$. Thus the SFF scenario also exhibits an upper limit on m_{ϕ_3} for sufficiently small m_{ψ} . This can be seen in Figure 5.2b. Due to one coupling D_i being sizeable m_{ϕ_3} can not be chosen arbitrarily large, as this would result in a too large annihilation rate which in turn yields a too small relic

density. However, for large $m_{\psi} \gtrsim 800 \,\text{GeV}$ the annihilation rate is sufficiently suppressed by the mediator mass such that values for m_{ϕ_3} up to the equal mass threshold $m_{\phi_3} = m_{\psi}$ become allowed.

6 DM Detection Experiments

One of the key features of lepton-flavoured DM is the absence of tree-level contributions to DM-nucleon scattering which loosens the constraints from direct detection experiments in comparison to quark-flavoured models. However, this feature comes at the cost of rendering indirect detection constraints more relevant, due to the DM particles' direct coupling to electrons and positrons. In this section we discuss both, constraints from direct detection as well as indirect detection experiments.

6.1 Direct Detection

Lepton-flavoured scalar DM exhibits a very rich direct detection phenomenology as it can give rise to a variety of relevant interactions. Generally, these interactions can be summed up as follows [60]:

- a) *DM-nucleon scattering*: In this process the DM particle scatters off nuclei, which results in nuclear recoil signals. This process is generated by one-loop level diagrams like the one shown in Figure 6.1a.
- b) *DM-electron scattering*: For DM-electron scattering (see Figure 6.1b) we can distinguish between two processes. The first is inelastic scattering, where the DM scatters off electrons bound in an atom, such that the atom is ionized as the electron absorbs the whole recoil and is kicked out of the atom. The second process is elastic scattering between free electrons and DM in the early universe leading to an inhibition of structure formation and a suppression of cosmic microwave background (CMB) anisotropies.
- c) *DM-atom scattering*: Here we can again distinguish between inelastic scattering, where DM scatters off a bound electron which is then excited to an outer shell, and elastic scattering, where the electron wave-function remains the same. In both cases the overall recoil is absorbed by the atom in which the electron is bound.

In spite of this variety of interactions, comparisons between the event rates of DM-nucleon scattering, inelastic DM-electron scattering as well as DM-atom scattering show that scatterings between DM and nuclei strongly dominate over the other two interactions [60]. In both cases, inelastic DM-electron scattering and DM-atom scattering, it is necessary that DM scatters off bound electrons with a non-negligible momentum of order $p_e \sim \mathcal{O}(\text{MeV})$ to generate sizeable signals. As the probability for a bound electron to carry such a momentum is small, both interactions suffer from a large wave-function suppression and are thus negligible compared to DM-nucleon scattering. For elastic DM-electron scattering we on the other hand find that it only puts constraints on our model for sub-MeV DM as the limits carry a strong DM mass dependence [61].

Following the arguments provided in Section 5 and in reference [24], we again neglect contributions proportional to the Higgs portal coupling $\lambda_{H\phi}$. Here, the latter gives rise to a tree-level

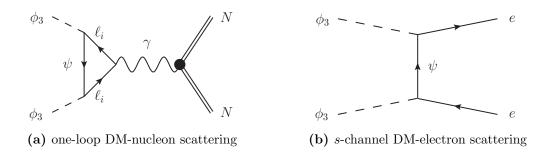


Figure 6.1: Representative Feynman diagrams of relevant interactions for direct detection signals. Note that for the photon penguin there is also a diagram where the photon is emitted by the mediator ψ and for DM-electron scattering there exists also a *t*-channel diagram.

diagram where DM scatters off a nucleon through a *t*-channel Higgs boson exchange. This diagram is proportional to $y_N^2 \lambda_{H\phi}^2$, where $y_N \simeq 0.3$ is the Higgs-nucleon coupling [29].⁴ Finally, DM also couples to the nucleon's quark vector current. This again happens through the same one-loop diagram as in Figure 6.1a where in this case instead of a photon a Z-boson mediates the scattering process. The amplitude of such a process is proportional to $m_{\ell_i}^2/m_{\psi}^2$ [28], where m_{ℓ_i} is the mass of the lepton in the loop. We hence also neglect contributions from Z-boson penguin diagrams.

In summary, for the analysis of constraints that direct detection experiments put on our model we focus on the one-loop scattering between DM and nucleons shown in Figure 6.1a. This contribution is induced by the charge-radius operator

$$\mathcal{O}_{\gamma} = \partial^{\mu}\phi \,\partial^{\nu}\phi^{\dagger}F_{\mu\nu} \,. \tag{6.1}$$

In the limit of negligible lepton masses, i.e. $m_{\ell_i} \ll m_{\psi}$, its matched Wilson coefficient f_{γ} reads [25]

$$f_{\gamma} = -\sum_{i} \frac{e |\lambda_{i3}|^2}{16\pi^2 m_{\psi}^2} \left[1 + \frac{2}{3} \ln \left(\frac{m_{\ell_i}^2}{m_{\psi}^2} \right) \right].$$
(6.2)

Note that for i = 1, i.e. for an electron-positron pair in the loop, the mass m_e needs to be replaced by the momentum transfer $|\vec{q}| = \mathcal{O}(3-10)$ MeV as the electron mass is smaller than $|\vec{q}|$ [25].

For the spin-independent averaged DM-nucleon scattering cross section we find

$$\sigma_{\rm SI}^N = \frac{Z^2 e^2 \mu^2}{8\pi A^2} f_\gamma^2 \,, \tag{6.3}$$

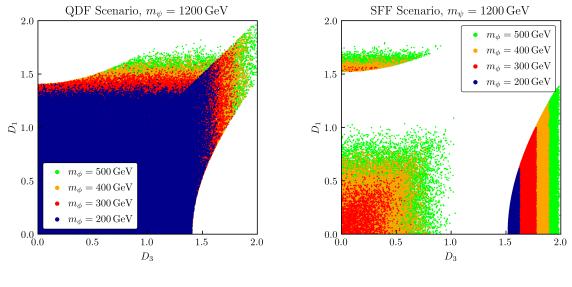
where Z and A are the atomic and mass number of the element that the nucleons constitute. Here, μ is the reduced mass of the DM-nucleon system defined as $\mu = m_N m_{\phi_3}/(m_N + m_{\phi_3})$. Using this cross section together with limits obtained from the XENON1T experiment [62] we constrain our model numerically. We again use the lepton masses obtained from [46] and set

⁴Note that even without a direct coupling of the DM fields to the Higgs boson an effective coupling is generated at the one-loop level through the same diagram as in Figure 6.1a with a Higgs boson instead of a photon. As these contributions are proportional to $y_{\ell_i}^2 y_N |\lambda_{i3}|^2$, they are negligible due to the smallness of the lepton Yukawa couplings y_{ℓ_i} .

 $|\vec{q}| = 10 \text{ MeV}$ for the momentum transfer mentioned above. The atomic and mass numbers of Xenon read Z = 54 and A = 131.

The results are shown in Figure 6.2. In both scenarios τ -flavoured DM, i.e. points in the parameter space with $D_3 > D_{1,2}$ generally allow for larger couplings D_i than e- or μ -flavoured DM. This is due to the m_{ℓ} dependence of the photon penguin diagram from Figure 6.1a. Its amplitude from eq. (6.2) is proportional to the logarithm of the mass of the lepton in the loop and thus it grows with a decreasing m_{ℓ} . Hence, we find the largest restrictions on D_1 and D_2 as the tau mass m_{τ} is much larger than the muon mass m_{μ} or the momentum transfer $|\vec{q}|$. While the amplitude f_{γ} exhibits a $1/m_{\psi}^2$ suppression, it does not depend on the DM mass m_{ϕ_3} . This parameter only enters the calculation through the reduced mass μ , for which we find $\mu \approx m_N$ since $m_{\phi_3} \gg m_N$. Thus, the only m_{ϕ_3} dependence comes from the XENON1T upper limit itself, which reaches its minimum value for $m_{\phi_3} \simeq 30$ GeV and grows with increasing DM mass values. This explains why in both scenarios larger values D_i are allowed for increasing values of m_{ϕ_3} .

The points above the diagonal in Figure 6.2a correspond to either μ - or *e*-flavoured DM, while the points below the diagonal represent either μ - or τ -flavoured DM. We see that for the reasons explained above the latter allows for larger couplings D_i . In the SFF scenario shown in Figure 6.2b the points with a large D_3 correspond to τ -flavour, the points with a large D_1 to *e*-flavour and the points close to the origin to μ -flavour. As the SFF scenario forces one coupling D_i to be significantly larger than the others, we find that for *e*- and μ -flavoured DM the direct detection constraints cannot be fulfilled for small DM masses, $m_{\phi_3} = 200$ GeV. This is due to the enhancement of f_{γ} for *e*- and μ -flavoured DM and the more stringent experimental upper limit for smaller mass m_{ϕ_3} .



(a) QDF scenario

(b) SFF scenario

Figure 6.2: Allowed couplings D_3 and D_1 in both scenarios. The mediator mass is fixed to $m_{\psi} = 1200 \text{ GeV}$ and the tree-level DM mass parameter m_{ϕ} varies.

6.2 Indirect Detection

As already mentioned in the introduction of this section, lepton-flavoured DM can generally lead to large signals for indirect detection experiments. This is due to the fact that the direct coupling of ϕ_3 to electrons can lead to sizeable electron-positron fluxes. However, due to the *p*-wave suppression of the thermally averaged annihilation cross section discussed in Section 5, this is not the case for lepton-flavoured scalar DM. The DM halo velocity in the Milky Way today is much smaller than the relative velocity during the freeze-out and reads $\langle v^2 \rangle \simeq 10^{-6}$. Hence, $\langle \sigma v \rangle_{\text{eff}}$ suffers from a severe velocity suppression.

It is thus necessary to include the additional diagrams shown in Figure 6.3 to the calculation of $\langle \sigma v \rangle_{\rm eff}$ to provide a proper analysis of the constraints that indirect detection places on our model. The process of two dark particles ϕ_3 annihilating into the three-body final state $\ell_i \bar{\ell}_j \gamma$ shown in Figure 6.3a lifts the *p*-wave suppression of the annihilation rate. The one-loop annihilation into two photons shown in Figure 6.3b on the other hand gives comparably sizeable contributions in spite of its loop suppression. Note that both diagrams are not relevant for the thermal freeze-out as the one-loop diagram is parametrically suppressed by $\alpha_{\rm em}^2/(4\pi)^2 \sim 10^{-7}$ while the diagram with a single photon in the final state is suppressed by $\alpha_{\rm em}/\pi \sim 10^{-3}$. The annihilation rate into $\ell_i \bar{\ell}_j$ in contrast is only suppressed by $\langle v^2 \rangle \simeq 0.3$ in the early universe.

The annihilation rates $\langle \sigma v \rangle_{\ell \bar{\ell} \gamma}$ and $\langle \sigma v \rangle_{\gamma \gamma}$ for the processes shown in Figure 6.3 in the limit of vanishing lepton masses $m_{\ell} \to 0$ read [63, 64]

$$\langle \sigma v \rangle_{\ell \bar{\ell} \gamma} = \frac{\alpha_{\rm em}}{32\pi^2 m_{\phi_3}^2} \sum_{ij} |\lambda_{i3}|^2 |\lambda_{j3}|^2 \mathcal{A}(\mu) \,, \tag{6.4}$$

$$\langle \sigma v \rangle_{\gamma\gamma} = \frac{\alpha_{\rm em}^2}{64\pi^3 m_{\phi_3}^2} \left(\sum_i |\lambda_{i3}|^2 \right)^2 |\mathcal{B}(\mu)|^2 \,. \tag{6.5}$$

The functions \mathcal{A} and \mathcal{B} are defined as

$$\mathcal{A}(\mu) = (\mu+1) \left(\frac{\pi^2}{6} - \log^2 \left[\frac{\mu+1}{2\mu}\right] - 2\text{Li}_2 \left[\frac{\mu+1}{2\mu}\right]\right) + \frac{4\mu+3}{\mu+1} + \frac{4\mu^2 - 3\mu - 1}{2\mu} \log \left[\frac{\mu-1}{\mu+1}\right],$$
(6.6)

$$\mathcal{B}(\mu) = 2 - 2\log\left[1 - \frac{1}{\mu}\right] - 2\mu \arcsin\left[\frac{1}{\sqrt{\mu}}\right]^2, \qquad (6.7)$$

where $\mu = m_{\psi}^2/m_{\phi_3}^2$ and $\text{Li}_2(z)$ is the dilogarithm. The rate for the tree-level annihilation into a pair of leptons is the same as the thermal annihilation cross section for the SFF scenario discussed in Section 5.

For our numerical analysis we use limits based on measurements of the AMS [65], Fermi-LAT [66] and H.E.S.S. [67] experiments to constrain the parameter space of our model. Using AMS-02 measurements of the positron flux, reference [68] provides an upper limit $\langle \sigma v \rangle_{\bar{e}}^{\exp}$ on the annihilation rate of DM to an electron-positron pair with a branching ratio of 100%. The positron flux signal generally includes both, prompt as well as secondary positrons from decays of muons and taus. However, since the energy spectrum is shifted towards lower energies for the latter and since they additionally suffer from a smeared momentum distribution, the signal is mainly dominated by prompt positrons. Thus, for the numerical analysis we sum over all

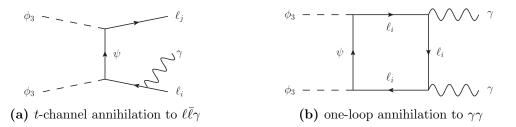


Figure 6.3: Representative Feynman diagrams for relevant higher-order annihilation processes.

annihilation channels with a positron in the final state and compare with the experimental upper limit. Since we expect the shift in the DM mass dependence for the three-body final state compared to the limit calculated for a two-body final state in [68] to be negligible, we here include the radiative corrections of Figure 6.3a, i.e. we demand that the sum

$$\langle \sigma v \rangle_{\bar{e}} = \sum_{\ell} \langle \sigma v \rangle_{\ell \bar{e}} + \langle \sigma v \rangle_{\ell \bar{e}\gamma} , \qquad (6.8)$$

is smaller than the experimental upper limit $\langle \sigma v \rangle_{\bar{e}}^{\exp}$.

In [69] an upper limit $\langle \sigma v \rangle_{\tau}^{\exp}$ is provided for annihilations into a tau-antitau pair in a similar fashion based on measurements of the γ -ray continuum spectrum by Fermi-LAT. Similar to the case of the positron flux being most sensitive to prompt positrons, this signal is mainly dominated by taus or antitaus in the final state as they produce more photons through subsequent decays than electrons and muons. We thus calculate the total annihilation rate into final states with at least one tau or antitau, i.e. we calculate the sum

$$\langle \sigma v \rangle_{\tau} = \langle \sigma v \rangle_{\tau\bar{\tau}} + \langle \sigma v \rangle_{\tau\bar{\tau}\gamma} + \frac{1}{2} \sum_{\ell=e,\mu} \left(\langle \sigma v \rangle_{\ell\bar{\tau}} + \langle \sigma v \rangle_{\bar{\ell}\tau} + \langle \sigma v \rangle_{\ell\bar{\tau}\gamma} + \langle \sigma v \rangle_{\bar{\ell}\tau\gamma} \right) , \qquad (6.9)$$

and compare it with the upper limit $\langle \sigma v \rangle_{\tau}^{\exp}$. Here we have again additionally included annihilations into the three-body final state and a factor of 1/2 for final states with a single tau or antitau, since the respective upper limit was derived for a tau-antitau pair in the final state.

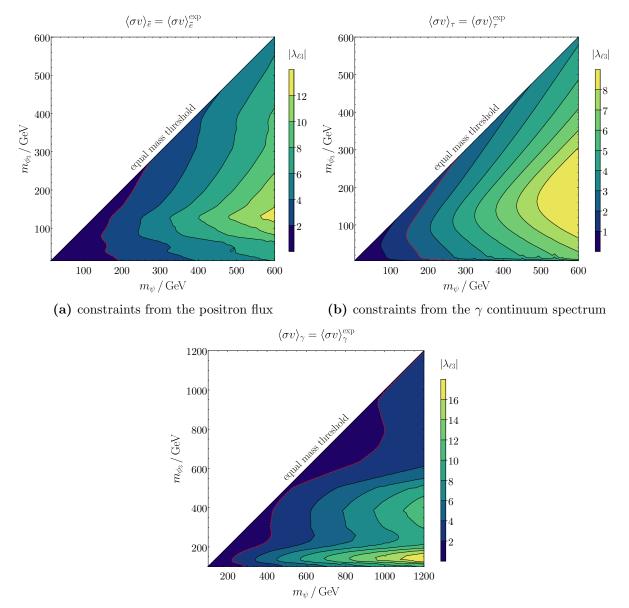
Finally, reference [70] uses H.E.S.S. and Fermi-LAT data of the γ -ray line spectrum to provide an upper limit $\langle \sigma v \rangle_{\gamma}^{\exp}$ on the sum

$$\langle \sigma v \rangle_{\gamma} = \langle \sigma v \rangle_{\ell \bar{\ell} \gamma} + 2 \langle \sigma v \rangle_{\gamma \gamma} , \qquad (6.10)$$

since both processes of Figure 6.3 exhibit a line-like photon energy spectrum. For the $2 \rightarrow 2$ process induced by the box diagram of Figure 6.3b the energy distribution peaks at $E_{\gamma} = m_{\phi_3}$. The process depicted in Figure 6.3a on the other hand is a three-body process, but resembles a line signal because the internal bremmstrahlung photons emitted from the virtual ψ exhibit a sharply peaked spectrum just below the DM mass [70].

In order to estimate the impact of the constraints on our model, we determine the coupling strength for which each of the annihilation rates $\langle \sigma v \rangle_{\bar{e}}, \langle \sigma v \rangle_{\tau}$ and $\langle \sigma v \rangle_{\gamma}$ saturates its respective experimental upper limit. To this end, we scan over the mass parameters m_{ψ} and m_{ϕ_3} and take the limit of degenerate couplings $|\lambda_{i3}| = |\lambda_{\ell3}|$ when calculating the rates of eqs. (6.8) – (6.10).

The results are shown in Figure 6.4. In all three plots the red dashed line indicates the contour with $|\lambda_{i3}| = 2.0$. As the couplings are limited to $|\lambda_{i3}| \in [0, 2]$, we only expect constraints on our model in the area that is enclosed by the equal mass diagonal and this contour. In Figure 6.4a we see that limits obtained from measurements of the positron flux or the γ -ray continuum spectrum only lead to exclusions in our parameter space for masses m_{ψ} which are already excluded by the LHC searches discussed in Section 3. The limit for annihilations into a single positron is relevant for $m_{\psi} \leq 250 \text{ GeV}$, where between $200 \text{ GeV} \leq m_{\psi} \leq 250 \text{ GeV}$ it only



(c) constraints from the γ line spectrum

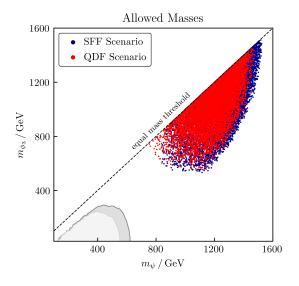
Figure 6.4: Restrictions on the model parameters from indirect detection experiments. The area included by the red dashed line and the equal mass diagonal indicates in which mass regime the constraints are relevant.

constrains our model in the region close to the degeneracy limit $m_{\psi} = m_{\phi_3}$. For annihilations into final states with a tau or antitau we find a similar behaviour, with the sole difference that in this case limits can be relevant up to mediator masses $m_{\psi} \approx 300 \text{ GeV}$. In Figure 6.4c we see that measurements of the γ -ray line spectrum place more stringent constraints on the coupling matrix λ . Here the limits are mainly relevant in the near-degeneracy regime $m_{\psi} \approx m_{\phi_3}$, and we find that they put constraints on λ for masses up to $m_{\psi} \simeq 1000 \text{ GeV}$. In total, we conclude that the indirect detection constraints remain rather weak when compared to limits from direct detection experiments or the observed DM relic density.

7 Combined Analysis

After having discussed all the experimental constraints independently in the previous sections, we are now prepared to provide a global picture of our model's viable parameter space. To this end we impose all constraints simultaneously and demand that they are fulfilled at the 2σ level. The results are shown in Figure 7.1, Figure 7.2 and Figure 7.3.

In Figure 7.1 we show the viable values for the mass parameters m_{ψ} and m_{ϕ_3} in both scenarios. The LHC constraints discussed in Section 3 are shown as the grey and light-grey exclusion contours. We find that all constraints can only be satisfied simultaneously for mediator masses $m_{\psi} \gtrsim 750 \text{ GeV}$ and DM masses $m_{\phi_3} \gtrsim 600 \text{ GeV}$. This is due to the combination of direct detection and relic density constraints. As shown in Section 5, the observed relic density requires that the couplings D_i are large, since the annihilation rate is *p*-wave suppressed. On the other hand, the direct detection limits force the mediator mass to be large



enough in order to suppress f_{γ} sufficiently and compensate for the large couplings D_i . For values 800 GeV $\lesssim m_{\psi} \lesssim 1000$ GeV this suppression of f_{γ} through m_{ψ} alone is not sufficient to compensate for sizeable couplings D_i . Thus, in this region the combined constraints from direct detection and the relic density additionally demand that the DM mass m_{ϕ_3} is comparably large as the XENON1T upper limits on the scattering cross section decrease for increasing DM masses in the region $m_{\phi_3} > 30 \,\text{GeV}$. Large values of m_{ϕ_3} are also needed, since for such mediator masses the direct detection constraints exclude large couplings $D_i \gtrsim 1.5$ and hence the DM annihilation rate needs to be enhanced through large DM masses in order to yield the correct relic density. For the LHC constraints we find that the largest exclusion in the $m_{\psi} - m_{\phi_3}$ plane is given in the QDF scenario. As we have chosen the parameter η from eq. (2.9) to be negative, the ansatz $D_1 = D_2$ necessary for a proper recasting of the LHC limits we consider requires $D_3 > D_{1,2}$ to be consistent with the SFF scenario. Hence, one is always left with a larger coupling to taus than to electrons and muons which results in a reduced branching ratio of the mediator to the latter two. This in turn reduces the signal cross section in the SFF scenario compared to the QDF scenario, where the couplings D_i can be equal. With respect to the overall impact of the LHC constraints we find that the above explained interplay between the direct detection and relic density constraints renders them irrelevant in constraining the parameter space of the model. As far as the mixed-flavour final states $\ell_i \bar{\ell}_j + E_T$ mentioned in Section 3 are concerned, we find that since only large masses m_{ψ} and m_{ϕ_3} are viable their signal cross section is highly suppressed and yields values of order $\mathcal{O}(ab)$. The SM background, on the other hand, is dominated by the production of $t\bar{t}$ and WW pairs, with significantly larger cross sections than $\psi\psi$ pair production in our model: we find the background to be of order $\mathcal{O}(pb)$ and thus expect the mixed-flavour final states to not exhibit a significant discovery potential.

In Figure 7.2 we show the constraints of the combined analysis on the coupling matrix λ . The

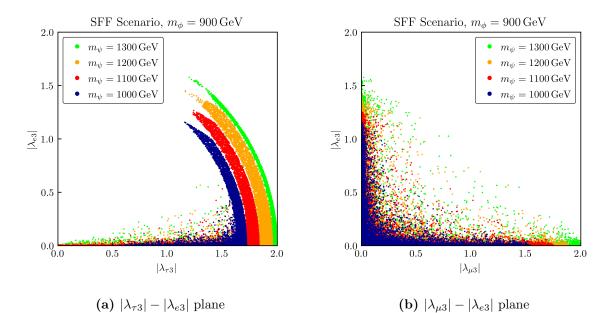


Figure 7.2: Allowed couplings $|\lambda_{i3}|$ for $m_{\phi} = 900 \text{ GeV}$ and varying m_{ψ} based on all constraints in the SFF scenario.

structure of the latter is mainly determined by the flavour, relic density and direct detection constraints. The interplay between the former two causes the circular bands shown in Figure 7.2a. In the SFF scenario the relic density constraint reduces to the spherical condition

$$|\lambda_{e3}|^2 + |\lambda_{\mu3}|^2 + |\lambda_{\tau3}|^2 \approx \text{const.},$$
(7.1)

which causes the outer edge of the bands shown in Figure 7.2a. At the same time the flavour physics limit from the LFV decay $\mu \to e\gamma$ discussed in Section 4 forces the product of $|\lambda_{e3}|$ and $|\lambda_{\mu3}|$ to be small, which is illustrated in Figure 7.2b, as either $|\lambda_{e3}|$ can be large while $|\lambda_{\mu3}|$ is small or vice versa. This in turn causes the inner edge of the bands of Figure 7.2a as well as the points scattered close to the x-axis. Figure 7.2 also reflects the constraints from direct detection, as the maximum allowed values for $|\lambda_{e3}|$ are smaller than the ones for $|\lambda_{\mu3}|$, which can be seen in Figure 7.2b. Also, for large enough values of $|\lambda_{e3}|$ the DM-nucleon scattering constraints become dominant over the relic density constraint. This explains why in Figure 7.2a the circular bands become thinner for growing values of $|\lambda_{e3}|$ above this threshold. For even larger values of $|\lambda_{e3}|$ we find that the direct detection and relic density constraints cannot be satisfied simultaneously, which strongly disfavours the case of *e*-flavoured DM, i.e. $|\lambda_{e3}| > |\lambda_{\mu3}|, |\lambda_{\tau3}|$. As the DM-nucleon scattering rate is significantly larger for an electron in the loop in Figure 6.1a, we find that even for large masses $m_{\psi} \gtrsim 1200 \,\text{GeV}$ the DM particle ϕ_3 needs to be mainly μ - or τ -flavoured in spite of the $1/m_{\psi}^2$ suppression of f_{γ} .

While the allowed parameter space of the QDF scenario shown in Figure 7.3 exhibits analogous features as the SFF scenario, they are far less pronounced. This is mainly due to the different dynamics of the thermal freeze-out, where all dark flavours are present. The relic

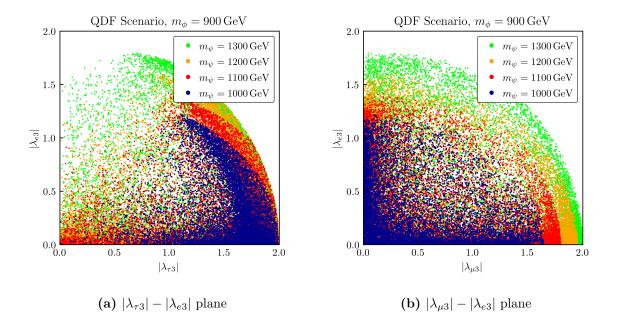


Figure 7.3: Allowed couplings $|\lambda_{i3}|$ for $m_{\phi} = 900 \text{ GeV}$ and varying m_{ψ} based on all constraints in the QDF scenario.

density constraint thus reduces to the condition

$$\sum_{ij} |\lambda_{ij}|^2 \approx \text{const.}, \qquad (7.2)$$

i.e. it demands that the couplings $|\lambda_{ij}|$ form the shell of a 9-dimensional sphere. This causes the outer circular edge that can be seen in both Figure 7.3a and Figure 7.3b. Since the couplings D_i cannot exhibit a significant splitting in the QDF scenario, the flavour constraints are weaker than in the SFF scenario. This is clearly visible in Figure 7.3b, where in spite of the higher point density close to the axes points with comparable values for $|\lambda_{e3}|$ and $|\lambda_{\mu3}|$ are also allowed. This at the same time explains the absence of an inner edge for the contours of Figure 7.3a, which again is in contrast to the SFF scenario. The impact of the direct detection limits on the parameter space can again be seen in both plots of Figure 7.3, as for a mediator mass $m_{\psi} = 1000 \,\text{GeV}$ (blue points), for example, the coupling of DM to tau leptons may become maximal with $|\lambda_{\tau 3}| = 2.0$, while the couplings to muons and electrons are limited to $|\lambda_{\mu 3}| \simeq 1.7$ and $|\lambda_{e3}| \simeq 1.2$. Since the DM-nucleon scattering rate is suppressed by $1/m_{\psi}^2$, the maximum allowed values for $|\lambda_{\mu3}|$ and $|\lambda_{e3}|$ increase with a growing mediator mass. In Figure 7.3a we further see that the direct detection constraint is dominant over the relic density constraint for a larger range of allowed values for $|\lambda_{e3}|$ than in the SFF scenario. For the DM particle's flavour we find that, while most of the viable parameter points correspond to τ -flavour, a significant part of the parameter space also allows for μ - and e-flavoured DM.

8 Summary and Outlook

In this paper we studied a simplified model of lepton-flavoured dark matter beyond MFV, as introduced in Section 2. In this model, the SM is extended by a DM flavour triplet ϕ , which is a complex scalar and a singlet under the SM gauge group, and it transforms as triplet under a new dark flavour symmetry $U(3)_{\phi}$. The DM field ϕ couples to the right-handed charged leptons ℓ_R via a charged fermionic mediator ψ , carrying the same gauge quantum numbers as ℓ_R . The interaction between the SM leptons and DM is governed by the coupling λ , a generic 3×3 complex matrix. Following the DMFV ansatz we assumed that λ and the SM Yukawa couplings are the only sources that violate the extended flavour symmetry of the model. In order to study the phenomenology of this model and constrain its parameter space we then explored the limits from collider searches, LFV decays, the observed DM relic density, direct detection and indirect detection experiments.

In Section 3 we found that the most stringent limits in terms of LHC searches stem from SUSY searches for sleptons of the first and second generation that we recasted for mediator pair-production in our model. Here we used a CMS search for the final state $\ell \bar{\ell} + E_T$ based on the run 2 LHC data with an integrated luminosity of 137 fb⁻¹. The exclusion in the mass plane $m_{\psi} - m_{\phi}$ was found to be the largest for vanishing couplings D_3 and large couplings $D_1 = D_2$. For large couplings D_3 , the reduced branching ratio of the mediator decay to final states with an electron or a muon in turn also lowers the relevant signal cross section. We concluded that current LHC limits maximally exclude masses $m_{\psi} \leq 750$ GeV.

To study the flavour structure of λ , in Section 4 we investigated the constraints that LFV decays put on our model. The strongest limit stems from the decay $\mu \to e\gamma$ and forces the coupling matrix λ to satisfy $|(\lambda\lambda^{\dagger})_{\mu e}|^{1/2} \leq m_{\psi}/15$ TeV for masses $m_{\psi} \gg m_{\phi_3}$. We further found that in the limit of vanishing flavour mixing angles θ_{ij} or degenerate couplings D_i the

LFV decay constraints are rendered irrelevant. In Section 4 we also commented on constraints from precision measurements of leptonic MDMs and EDMs. These constraints are only relevant at new physics scales of order $\mathcal{O}(100 \text{ GeV})$, which are already excluded by LHC searches.

For the analysis of the constraints the observed DM relic abundance places on our model we have defined two benchmark scenarios for the thermal freeze-out of DM in Section 5. The first one was the QDF scenario, where we assumed a negligible mass splitting between the different DM generations, such that all dark flavours are present during freeze-out. In the SFF scenario on the other hand, we assumed that the mass splitting is significant, such that only ϕ_3 contributes to the latter. We found that in both scenarios the thermally averaged annihilation cross section is *p*-wave suppressed. In turn this forces the couplings D_i to be large in order not to yield a too small annihilation rate or, equivalently, overabundant DM.

In Section 6.1 we discussed the phenomenology of direct detection experiments. After identifying relevant interactions for scatterings between DM and SM matter in our model, we found that the dominant process for direct detection is DM-nucleon scattering induced by a one-loop photon penguin diagram. Using XENON1T data we then constrained the parameter space of our model, finding that the smaller the mass of the lepton in the loop, the larger the contribution to the DM-nucleon scattering cross section. Hence, the most stringent limits are placed on the coupling $|\lambda_{e3}|$, which in turn disfavours *e*-flavoured DM.

Section 6.2 was dedicated to the analysis of indirect detection constraints. Since the leading annihilation rate of DM into a pair of SM leptons exhibits a *p*-wave suppression, we included the subleading one-loop annihilation into two photons and annihilation into the three-body final state with two leptons and a photon. We found that the indirect detection constraints are generally weak, and only the constraints from measurements of the γ -ray spectrum yield relevant limits for mediator masses up to $m_{\psi} \simeq 1000 \text{ GeV}$ in the near-degeneracy region $m_{\psi} \approx m_{\phi_3}$.

To provide a global picture we then used Section 7 to perform a combined analysis of all constraints. Here we found that the combination of relic density and direct detection constraints renders LHC limits irrelevant and forces the masses to roughly lie in the ranges $800 \text{ GeV} \leq m_{\psi} \leq 1600 \text{ GeV}$ and $400 \text{ GeV} \leq m_{\phi_3} < m_{\psi}$. Further, the allowed values for the couplings $|\lambda_{i3}|$ are mainly determined by the interplay of relic density, flavour and direct detection constraints in both freeze-out scenarios. Last but not least, we determined the flavour of DM and found that while in the SFF scenario only μ - and τ -flavoured DM are viable, the QDF scenario also allows for *e*-flavoured DM.

We conclude that lepton-flavoured complex scalar DM is a viable DM candidate in the context of current experimental constraints. Thanks to its non-trivial flavour structure allowed within the DMFV framework, it is governed by a rich phenomenology in which the synergy of various experiments yields important information on the structure of the model. With future improved sensitivities, we may hope to discover first hints of lepton-flavoured DM in the laboratory.

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A Partial Wave Expansion Coefficients

The coefficients from the partial wave expansion of the thermal averaged annihilation cross section from eq. (5.4) with the full final state mass dependence read

$$a = \sum_{ijkl} |\lambda_{ik}|^2 |\lambda_{jl}|^2 \frac{\sqrt{-2m_{\ell_k}^2 \left(m_{\ell_l}^2 + 4m_{\phi}^2\right) + m_{\ell_k}^4 + \left(m_{\ell_l}^2 - 4m_{\phi}^2\right)^2}}{128\pi m_{\phi}^4 \left(m_{\ell_k}^2 + m_{\ell_l}^2 - 2m_{\psi}^2 - 2m_{\phi}^2\right)^2} \times \left(4m_{\phi}^2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) - 6m_{\ell_k}^2 m_{\ell_l}^2 + m_{\ell_k}^4 + m_{\ell_l}^4\right),$$
(A.1)

$$b = \sum_{ijkl} |\lambda_{ik}|^2 |\lambda_{jl}|^2 \frac{\sqrt{-2m_{\ell_k}^2 \left(m_{\ell_l}^2 + 4m_{\phi}^2\right) + m_{\ell_k}^4 + \left(m_{\ell_l}^2 - 4m_{\phi}^2\right)^2}}{3072\pi m_{\phi}^4 \left(m_{\ell_k}^2 + m_{\ell_l}^2 - 2m_{\psi}^2 - 2m_{\phi}^2\right)^4} \\ \times \left\{ 3 \left(-4m_{\phi}^2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) + 6m_{\ell_k}^2 m_{\ell_l}^2 + m_{\ell_k}^4 + m_{\ell_l}^4\right) \left(m_{\ell_k}^2 + m_{\ell_l}^2 - 2m_{\psi}^2 - 2m_{\phi}^2\right)^2 \right. \\ \left. - \frac{3 \left(-16m_{\phi}^2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) + 3 \left(m_{\ell_k}^2 - m_{\ell_l}^2\right)^2 + 16m_{\phi}^4\right)}{-2m_{\ell_l}^2 \left(m_{\ell_k}^2 + 4m_{\phi}^2\right) + \left(m_{\ell_k}^2 - 4m_{\phi}^2\right)^2 + m_{\ell_l}^4} \right. \\ \times \left(4m_{\phi}^2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) - 6m_{\ell_k}^2 m_{\ell_l}^2 - m_{\ell_k}^4 - m_{\ell_l}^4\right) \left(m_{\ell_k}^2 + m_{\ell_l}^2 - 2m_{\psi}^2 - 2m_{\phi}^2\right)^2 \right. \\ \left. + 8 \left[- \left(m_{\ell_k}^2 - m_{\ell_l}^2\right)^2 \left(m_{\psi}^2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) + 3m_{\ell_k}^2 m_{\ell_l}^2 + m_{\psi}^4\right) \right. \\ \left. + 8m_{\phi}^6 \left(8m_{\psi}^2 - 7 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right)\right) \right. \\ \left. + m_{\phi}^4 \left(-96m_{\psi}^2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) + 66m_{\ell_k}^2 m_{\ell_l}^2 + 31m_{\ell_k}^4 + 31m_{\ell_l}^4 + 32m_{\psi}^4\right) \right. \\ \left. + 2m_{\phi}^2 \left(4m_{\psi}^4 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) + m_{\psi}^2 \left(46m_{\ell_k}^2 m_{\ell_l}^2 + 9m_{\ell_k}^4 + 9m_{\ell_l}^4\right) \right. \\ \left. - 2 \left(m_{\ell_k}^2 + m_{\ell_l}^2\right) \left(4m_{\ell_k}^2 m_{\ell_l}^2 + m_{\ell_k}^4 + m_{\ell_l}^4\right) + 32m_{\phi}^8 \right] \right\}.$$
(A.2)

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